DILIGENCE

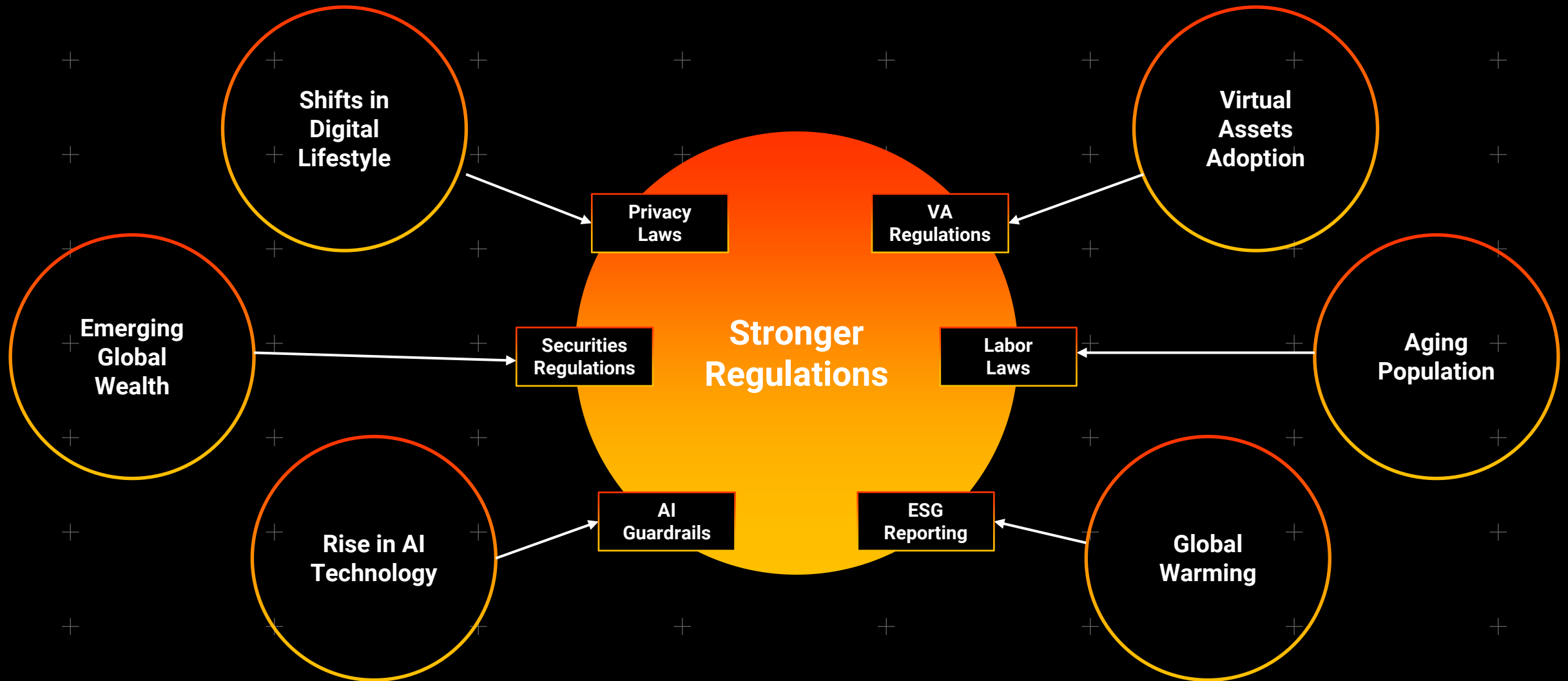## AI for Governance, Risk, and Compliance (GRC)

Automate Compliance. Transform Reporting. Empower Regulatory Supervision.

Compliance—Costly, Complex, and Unscalable.

Global Regulatory Complexity
Rapidly changing policies increase the risk of non-compliance, leading to supervisory and monitoring complexities.

Evolving Reporting Standards
Growing reporting requirements add to the compliance burden and the need to rethink data management.

Increasing Scrutiny
Incidents of fraud, data leaks, and greenwashing have triggered global watchdogs. More frequent and robust investigations are required.

120k
Average compliance cost (USD) per employee for large corporates.

Trusted by International Leaders Across Industries.

Enterprise & Public Sector

Streamlining reporting, compliance monitoring, and audit workflows.

恒生指數 HANG SENG INDEXES

恒生銀行 HANG SENG BANK

BEA東亞銀行

Cyberport 數碼港

Hactl

Hysan 希慎

FWKK

hkpc 生產力局

→ **Agentic SaaS model**
Billed by workflow

Professional Services

Augmenting transaction due diligence and disclosure verification workflows.

FRESHFIELDS

KING&WOOD MALLESONS

大成 DENTONS

O'Melveny

競天公誠律師事務所 JINGTIAN & GONGCHENG

CLEARY GOTTLIEB

DEACONS 的近律師行

LATHAM & WATKINS LLP

→ **On-demand model**
Billed by usage

Channel Partners

Driving adoption in AI technologies through product collaborations and bundling.

SGS

TOPPAN NEXUS

RICOH

MXC SECURITY

→ **Co-creation model**
Revenue sharing

Note: Displaying selected names only.

Adopted by top regulators and strategically aligned with ecosystem partners.

Regulators
- Enabling regulatory monitoring for regulators.
- Completed FSS3.1 sandbox, six POC schemes.

SFC 證監會
HONG KONG INTERNATIONAL AIRPORT 香港國際機場
Financial Services and the Treasury Bureau — The Government of the Hong Kong Special Administrative Region of the People's Republic of China
HONG KONG MONETARY AUTHORITY 香港金融管理局
OSHC
Digital Policy Office — The Government of the Hong Kong Special Administrative Region of the People's Republic of China

Industry Associations
- AI-powered CG & ESG scoring for HK-listed companies.
- Innovation and data-related awards assessment.

HKMA / HONG KONG SUSTAINABILITY AWARD 香港可持續發展獎 — The Hong Kong Management Association
GLOBAL BUSINESS SUSTAINABILITY INDEX
IFTA — Institute of Financial Technologists of Asia
CFA Society Hong Kong
CPA — Hong Kong Institute of Certified Public Accountants 香港會計師公會
香港中文大學 The Chinese University of Hong Kong
INSTITUTE OF ESG & BENCHMARK
DALA

Infrastructure Providers
- Partnership with key cloud service providers.
- Multi-model approach for AI agents.

HUAWEI
Microsoft Azure
aws
Google Cloud

Regulatory Monitoring

Policy and Procedure Governance

Audit Automation

End-to-End AI-Powered Compliance Platform

Gap analysis instantly benchmarks against infosec standards, such as ISO27001, GDPR, and more.

Compliance and Reporting AI Agents

AI-powered policy, control, and evidence review ensures accuracy and speed.

AI-Native Data Room

Centralized Audit Hub supports secure, Q&A, and collaborative review.

Collaborative Tools

wizpresso.com

A global bank tracks information security frameworks, performs daily gap monitoring, and streamlines reporting using AI

95%
Compliance rate

15x
Faster audit response

60%
Cost savings

Framework monitoring dashboard
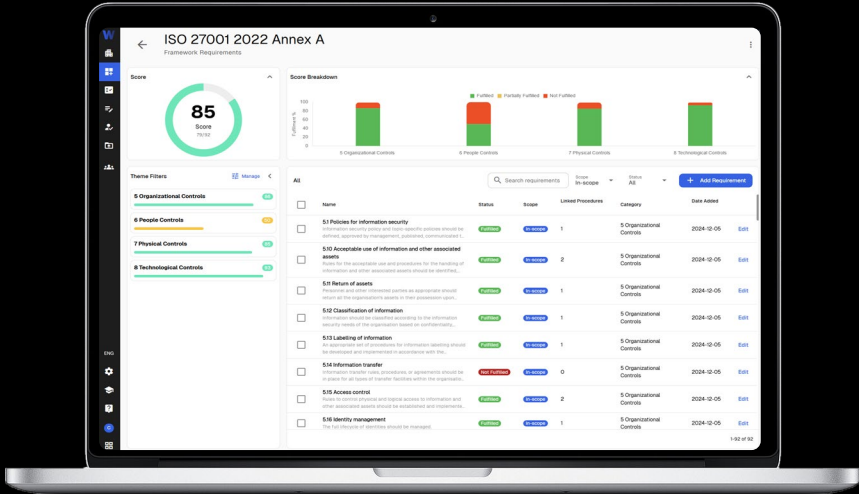
Use Case

- Compliance for HKMA cyber resilience, ISO 27001, and other frameworks.

- Perform regulatory gap and impact analysis regularly.

Challenge

- The bank is subject to hundreds of regulations across 30+ jurisdictions.

- Time-consuming to map regulations to 10,000+ policies and procedures.

- Costly to regularly audit controls across the organization.

Strategy

- Tracked 100+ frameworks in a central platform.

- Automated regulatory requirements mapping with policies.

- Streamlined audit Q&A and control reporting by using Gen AI and automated alerts.

WIZPRESSO

Every team works smarter with AI

CISO

Tracks compliance against information security standards and regulations.

Information Technology

Streamlines policies and procedures preparation.

Audit & Risks

Identifies compliance gaps and facilitates collaborative, audit-ready reviews.

Company Secretary

Automates governance tracking and ensures efficient compliance with regulatory standards.

Compliance

Centralizes policy management and delivers instant gap analyses with automated compliance alerts.

Legal

Expedites disclosure drafting and verification with accurate, AI-powered documentation.

The platform that unites all governance and reporting needs

Regulatory Gap Analysis

Automated, AI-powered compliance check against relevant frameworks

Data Collection & Integration

Centralized platform aggregates data from multiple sources

Policy Management

Procedure lifecycle management, compliance linkages, and AI-guided drafting

Compliance Insights

Purpose-driven dashboards to track compliance progress

Audit and Verification

Automated alerts for audit submission and verification

Risk & Incident Management

Real-time dashboards, automated workflow assignment, integrated documentation

S-37

Page 12

Fully Verified

Overall progress

Progress
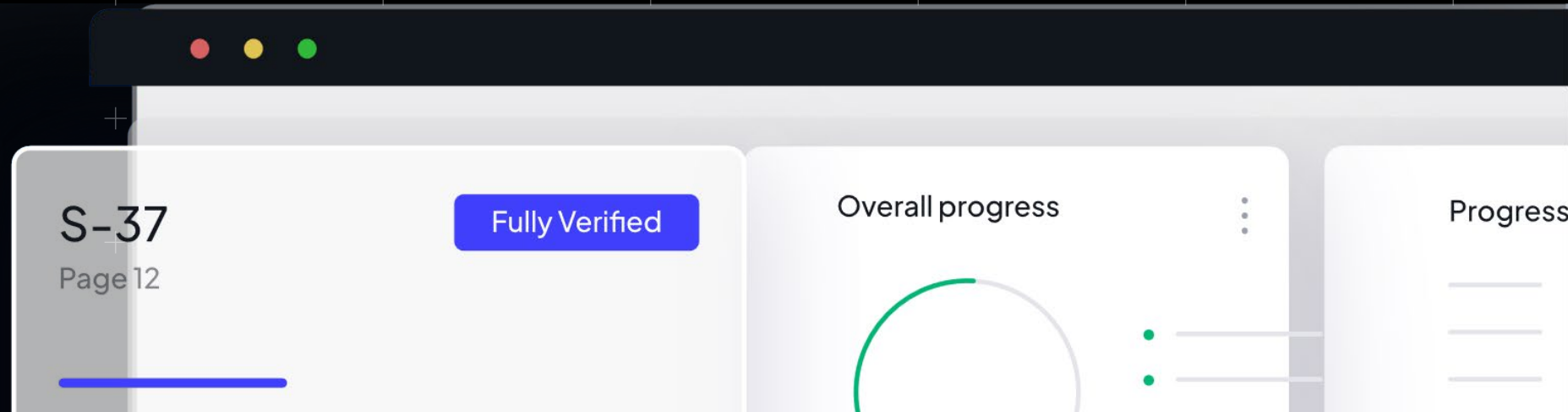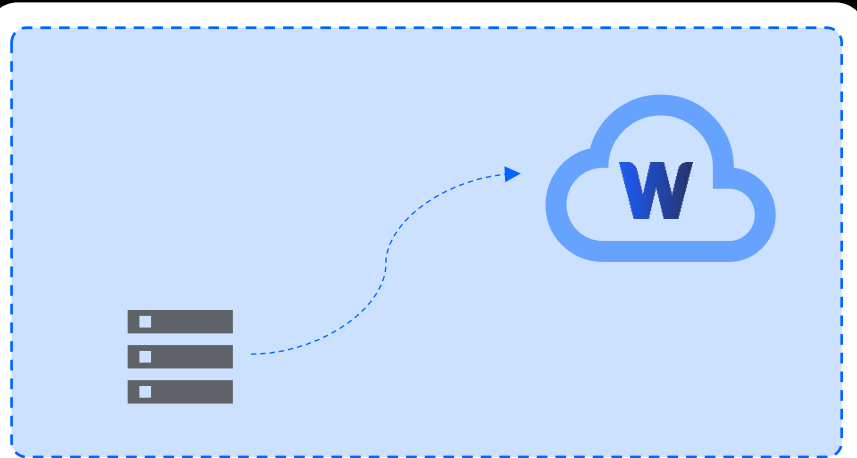
wizpresso.com

Deploy on Wizpresso Cloud

- Instant setup with no infrastructure management.
- Automatic updates.
- ISO27001:2022 Certified.
- 24/7 monitoring and enterprise-grade security.
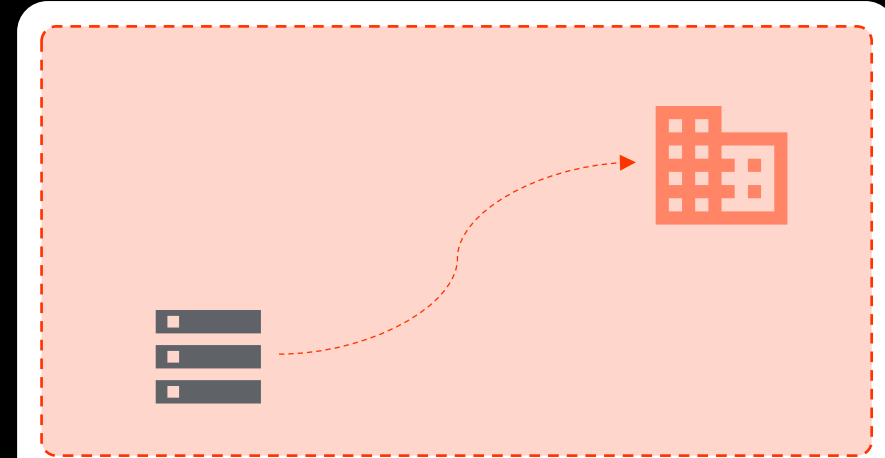
Deploy On-Premise

- Complete control over infrastructure and data.
- Custom deployment.
- Enhanced security with your protocols.
- Dedicated support and implementation assistance.

Get Started Today

Putting Supervision & Compliance on Autopilot

Compliance Gap Analysis

Score
92
Score
240/270

Score Breakdown
View Benchmarks

Fulfilled   Partially Fulfilled   Not Fulfilled

Environment   Governance   Social

Theme Filters

Environment
Governance
Social

All

Name	Risk Rating	Status	Scope	Linked Procedures	Category	Date Added
Implemented policies for managing climate-related risks and opportunities	-	Fulfilled	In-scope		Climate Risk Management	2024-08-01
Estimated future impacts based on the evaluations of current or past initiatives/ programmes/ projects	-	Fulfilled	In-scope		Social Impact Assessment	2024-08-01
Developed a business continuity and crisis management policy and mechanism(s) for handling	-	Fulfilled	In-scope		Business Continuity Management	2024-08-01
Implemented a strategy, policy or management approach to prevent the loss of biodiversity and...	-	Fulfilled	In-scope		Ecosystem Conservation	2024-08-01
Reviewed its practice to identify improvement areas in Business Continuity Management	-	Fulfilled	In-scope		Business Continuity Management	2024-08-01

1:270 of 270

Audit Hub

Access
1
Reviewer

13 December 2024
Last Access

Reviewer Groups

Manage Access

File Summary
46 files

82.41 MB
Total Size

Questions
7

5 (71%)
Questions outstanding

Audit Exercise

Name	Start Date	Deadline	Status	Reviewer	Owner
年次監査	2024-10-28		Active		Max Siu
Annual Audit	2024-10-28		Active		Max Siu

Records per page 20   1-2 of 2

Verification Management

Search procedures   Bulk Add   Add Procedure

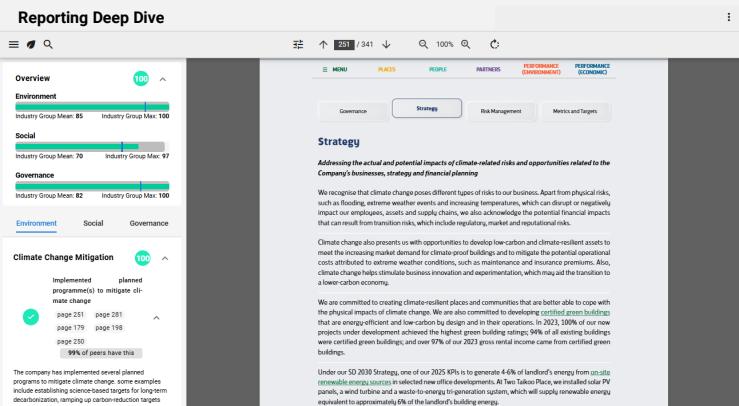| Title	Description	Linked Requirements	Status	Last Modified
ESG-S-11-01 Procedure	The requirement statement is fulfilled. The company establishes close relationships with local communities, contributing to local employment and cultural enrichment through business activities. They engage in dialogues with stakeholders to align their products and services with local societal...	1	Implemented	2024-12-13 11:59
ESG-S-11-10 Procedure	The requirement statement is fulfilled. The company engages in operations with local community involvement, impact assessments, and development programs as indicated in the GRI Standard 413-1. They focus on contributing to regions economies, philanthropy, and respecting human rights, which...	1	Implemented	2024-12-13 11:59
ESG-E-02-05 Procedure	The requirement statement is fulfilled. The document provides data on indirect energy consumption by type, including electricity and gas, in total and intensity. For example, power consumption is reported in thousands of kWh, with sales intensity values provided. Gas consumption is also detailed in thousands...	1	Implemented	2024-12-13 11:59
ESG-S-11-10 Procedure	The requirement statement about reviewing practices to identify improvement areas in Social Impact Assessment is fulfilled. The content from document 1, page 181, indicates that operations with local community engagement, impact assessments, and development programs are part of the indicators...	1	Implemented	2024-12-13 11:59
ESG-S-07-06 Procedure	The requirement statement is fulfilled. The document provides data on the number of cases in which the whistleblowing systems were used, but it shows zero cases of exposure of bribery. This information is found in document 2, page 18.	1	Implemented	2024-12-13 11:59
ESG-S-13-02 Implementation	The company provides support for employees to develop their careers, networks, and professional relationships. On page 21, it mentions improving digital capabilities using FOCUS and AI for operational innovation, and enhancing productivity to make the organization and its people world-class. These...	1	Implemented	2024-11-07 02:04
ESG-S-12-03 Implementation	The company has supported organizations and individuals by focusing on strategic marketing and product development. For example, they have strengthened marketing for brands like "SHISEIDO" and "NARS", and enhanced campaigns for "Drunk Elephant" to improve brand.	1	Implemented	2024-11-07 02:04
ESG-S-11-07 Implementation	The company has contributed to the development of innovative technologies. For example, they focus on strategic marketing and the development of hero products like "SHISEIDO" and "Drunk Elephant," which involve enhancing product functionality and creating campaigns to boost awareness (Document...	1	Implemented	2024-11-07 02:04
ESG-S-09-06 Implementation	The company's product management practice and policy have been reviewed to identify improvement areas. This is indicated by the focus on restructuring the brand portfolio and concentrating on brand selection, as mentioned on page 21.	1	Implemented	2024-11-07 02:04
ESG-S-09-04 Implementation	The company has assessed the effectiveness of its actions. For example, on page 15, it mentions the execution of cost reduction and productivity improvement plans, such as focusing on core brands and optimizing organizational structures in Japan and China. Additionally, page 18 outlines strategies like...	1	Implemented	2024-11-07 02:04

1-100 of 300

Reporting Deep Dive

251 / 341   100%

Overview
100

Environment
Industry Group Mean: 85   Industry Group Max: 100

Social
Industry Group Mean: 70   Industry Group Max: 97

Governance
Industry Group Mean: 82   Industry Group Max: 100

Environment   Social   Governance

Climate Change Mitigation
100

Implemented planned programme(s) to mitigate climate change

page 251   page 281
page 179   page 198
page 250

99% of peers have this

The company has implemented several planned programs to mitigate climate change. some examples include establishing science-based targets for long-term decarbonization, ramping up carbon-reduction targets

MENU   PLACES   PEOPLE   PARTNERS   PERFORMANCE (ENVIRONMENT)   PERFORMANCE (ECONOMIC)

Governance   Strategy   Risk Management   Metrics and Targets

Strategy

Addressing the actual and potential impacts of climate-related risks and opportunities related to the Company's businesses, strategy and financial planning

We recognise that climate change poses different types of risks to our business. Apart from physical risks, such as flooding, extreme weather events and increasing temperatures, which can disrupt or negatively impact our employees, assets and supply chains, we also acknowledge the potential financial impacts that can result from transition risks, which include regulatory, market and reputational risks.

Climate change also presents us with opportunities to develop low-carbon and climate-resilient assets to meet the increasing market demand for climate-proof buildings and to mitigate the potential operational costs attributed to climate change risks, such as maintenance and insurance premiums. Also, climate change helps stimulate business innovation and experimentation, which may aid the transition to a lower-carbon economy.

We are committed to creating climate-resilient places and communities that are better able to cope with the physical impacts of climate change. We are also committed to developing certified green buildings that are energy efficient and low-carbon by design and in their operations. In 2023, 100% of our new projects under development achieved the highest green building ratings; 94% of all existing buildings were certified green buildings; and over 97% of our 2023 gross rental income came from certified green buildings.

Under our SD 2030 Strategy, one of our 2025 KPIs is to generate 4-6% of landlord's energy from on-site renewable energy sources in selected new office developments. At Two Taikoo Place, we installed solar PV panels, a wind turbine and a waste-to-energy tri-generation system, which will supply renewable energy equivalent to approximately 6% of the landlord's building energy.

AI-Native Data Room

Search folders and documents   Upload   Create Folder

Name	Size	Status	Linked Procedures	Last Modified	Modified By	Permission
ISP-00 Policy Scope And Directory.docx	85.83 KB	Not Supported	0	2024-08-23 12:14	Max Siu	
ISP-02 Account Management.docx	81.31 KB	Processed	0	2024-08-26 17:30	Max Siu	
ISP-04 Company Mobile Device Acceptable Use and Security.docx	82.24 KB	Processed	0	2024-08-26 17:31	Max Siu	
ISP-07 Communications.docx	82.84 KB	Processed	1	2024-08-26 17:32	Max Siu	
ISP-10 Security Incident Management.docx	81.10 KB	Processed	1	2024-08-26 17:56	Max Siu	
ISP-17 Access Control and Management.docx	85.42 KB	Processed	1	2024-08-26 17:55	Max Siu	
ISP-23 Auditing and System Monitoring.docx	80.57 KB	Processed	1	2024-08-26 17:32	Max Siu	
ISP-30 Information Classification System.docx	82.66 KB	Processed	1	2024-08-26 17:35	Max Siu	
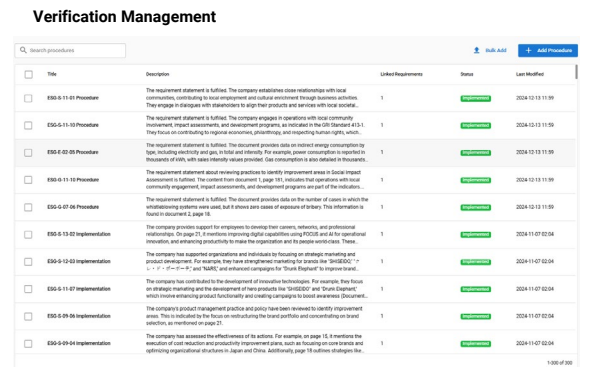
Book a Live Demo Today

Get started today

Wizpresso is an Asia-based technology company that transforms enterprise workflows and empowers financial markets stakeholders. We develop software underpinned by natural language processing (NLP) and deep learning to augment market intelligence, regulatory reporting, and knowledge management. We deliver value to users by removing communication barriers between participants, improving business growth, managing risks, and enhancing operational efficiency.

We serve hundreds of global financial institutions, professional services firms, and enterprises. Wizpresso has won numerous accolades over the years, including Best Tech Startup Awards at DaLa 2024 Awards, HKMA/HKT Global Innovation Awards 2024, AI of the Year at APICTA 2023, the Maker in China 2022 Champion, CUHK Corporate Innovation Index 2022, HKICT Grand Fintech Award 2021, EPIC 2021 Fintech Champion, IFTA Fintech Awards 2021 and 2020, and etnet's Fintech Awards 2020 and 2019.

Contact hello@wizpresso.com to learn more

wizpresso.com

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